

REGENT ENTERPRISES LIMITED

Regd. Office:-E-205 (LGF), Greater Kailash II, New Delhi-110048

Telephone no. 011 41610287, CIN-L15500DL1994PLC153183,

Email: legal@regententerprises.in, Website: www.regententerprises.in

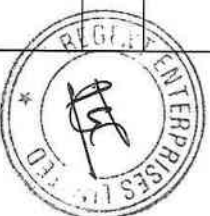
QUARTERLY INTEGRATED FILING (GOVERNANCE)

A. COMPLIANCE REPORT ON CORPORATE GOVERNANCE

1. Name of Listed Entity : Regent Enterprises Limited
2. Quarter & Financial year ended : March 31, 2025

I. Composition of Board of Directors

Title (Mr./Ms)	Name of Director	PAN & DIN	Category (Chairperson /Executive/ Non-Executive/ independent /& Nominee)	Initial Date of Appointment	Date of Re-appointment	Date of Cessation	Tenure (In Months)	Date of Birth	No. of directorship in listed entities including this listed entity	No. of Independent Directorship in listed entities including this listed entity	No. of members in Audit/ Stakeholder Committee (s) including this listed entity	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity
Mr.	Sachin Jain	AEMPJ1115P 07865427	Non-Executive - Non Independent Director, Chairperson	15.07.2017	-	-	-	10-08-1980	1	0	1	0
Mr.	Vikas Kumar	BTHPK6915K 05308192	Executive Director	19.01.2017	01.04.2022	-	-	25-06-1974	1	-	2	0
Mr.	Neeraj Singh	FEUPPS4153B 07863006	Non-Executive - Independent Director	15.07.2017	01.04.2022	-	87 Months	10-07-1987	1	1	2	0



Mrs. Bhawna Sharma	FOXPS2279B 10560431	Non-Executive Independent Woman Director	01.04.2024	-	-	12 Months	09-03-1991	1	2	2
Whether Regular chairperson appointed: Yes										
Whether Chairperson is related to managing director or CEO: No										

II. Composition of Committees

Name of Committee	Whether Regular chairperson appointed	Name of Committee members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	BHAWNA SHARMA	Independent Director & Chairperson of committee	01-04-2024	-
		SACHIN JAIN	Non Executive & Non Independent Director & Member of committee	01-04-2024	-
		NEERAJ SINGH	Independent Director & Member of committee	15-07-2017	-
2. Nomination & Remuneration Committee	Yes	BHAWNA SHARMA	Independent Director & Chairperson of committee	01-04-2024	-
		NEERAJ SINGH	Independent Director & Member of committee	15-07-2017	-
		SACHIN JAIN	Non Executive & Non Independent Director & Member of committee	15-07-2017	-
3. Risk Management Committee (if applicable)					
Not Applicable					
4. Stakeholders Relationship Committee	Yes	BHAWNA SHARMA	Independent Director & Chairperson of committee	01-04-2024	-
		NEERAJ SINGH	Independent Director & Member of committee	15-07-2017	-
		SACHIN JAIN	Non Independent Director & Non Executive Director & Member of committee	15-07-2017	-



		VIKAS KUMAR	Executive Director & Member of 19-01-2017 committee	
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III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of independent directors present	Date(s) of Meeting in the previous quarter	Maximum gap between any two consecutive (in number of days)
13.02.2025	Yes	4	2	12.11.2024	92 days

IV. Meetings of Committees

Name of the Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of independent directors present	Date(s) of meeting in the previous quarter	Maximum gap between any two consecutive Meetings (in number of days)
AUDIT COMMITTEE	13.02.2025	Yes	3	2	12.11.2024	92 days
STAKEHOLDERS RELATIONSHIP COMMITTEE	a. 13.02.2025	Yes	4	2	a. 12.11.2024 b. 22.11.2024 c. 26.12.2024	a. 09 days b. 33 days c. 48 days

VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015.
 - The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
 - Audit Committee
 - Nomination & Remuneration Committee
 - Stakeholders Relationship Committee
 - Risk management committee (applicable to the top 100 listed entities): **Not Applicable**
 - The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
 - The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
- This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here. **Report was placed before the board and adopted by the board.**

Mamta Sharma
(Company Secretary
& Compliance Officer)



Date: 04.04.2025
Place: Ghaziabad

B. INVESTOR GRIEVANCE REDRESSAL REPORT

Investor Grievance Redressal Report

No. of investor complaints pending at the beginning of Quarter	Nil
No. of investor complaints received during the Quarter	Nil
No. of investor complaints disposed off during the Quarter	Nil
No. of investor complaints those remaining unresolved at the end of the Quarter	Nil

C. DISCLOSURE OF ACQUISITION OF SHARES OR VOTING RIGHTS IN UNLISTED COMPANIES

The details of acquisition of shares or voting rights in unlisted companies during the quarter in terms of sub-para 1 of para A of Part A of Schedule III are given below:

S. No.	Name of the unlisted company in which shares or voting rights have been acquired	Date of acquisition	Aggregate holding (% shares or voting rights) as at the end of the previous quarter	% shares or voting rights acquired during the quarter	Aggregate holding (% shares or voting rights) as at the end of the quarter
	None				

D. DISCLOSURE OF IMPOSITION OF FINE OR PENALTY

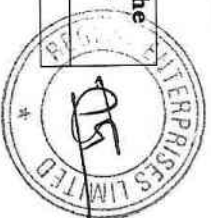
The details of imposition of fine or penalty during the quarter in terms of sub-para 20 of para A of Part A of Schedule III are given below:

S. No.	Name of the authority	Nature and details of the action(s) taken or order(s) passed	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	Details of the violation(s)/contravention(s) committed or alleged to be committed	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible
	None				

E. DISCLOSURE OF UPDATES TO ONGOING TAX LITIGATIONS OR DISPUTES

The updates on tax litigations or disputes in terms of sub-para 8 of para B of Part A of Schedule III read with corresponding provisions of Annexure 18 of the Master Circular are given below:

S.No.	Name of the opposing party	Date of initiation of the litigation / dispute	Date of initiation of the litigation / dispute	Status of the litigation / dispute as per last disclosure	Current status of the litigation / dispute
	None				



F. DISCLOSURE OF LOANS / GUARANTEES / COMFORT LETTERS / SECURITIES ETC. FOR THE HALF YEAR ENDING MARCH 31, 2025.

I. Disclosure of Loans/guarantees/comfort letters/securities etc.

(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to:

Entity	Aggregate amount During six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	NIL	NIL
Promoter Group or any other entity controlled by them	NIL	NIL
Directors (including relatives) or any other entity controlled by them	NIL	NIL
KMPs or any other entity controlled by them	NIL	NIL

(B) Any guarantee/comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the End of six months(taking into account any invocation)
Promoter or any other entity controlled by them	NIL	NIL	NIL
Promoter Group or any other entity controlled by them	NIL	NIL	NIL
Directors (including relatives) or any other entity controlled by them	NIL	NIL	NIL
KMPs or any other entity controlled by them	NIL	NIL	NIL



(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at The end of six months
Promoter or any other entity controlled by them	NIL	NIL	NIL
Promoter Group or any other entity controlled by them	NIL	NIL	NIL
Directors (including relatives) or any other entity controlled by them	NIL	NIL	NIL
KMPs Or any other entity controlled by them	NIL	NIL	NIL

II. Affirmations:

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company. Not Applicable



Mamta Sharma
(Company Secretary
& Compliance Officer)

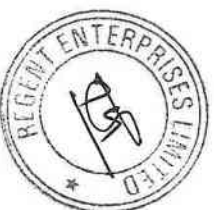


Date: 04.04.2025
 Place: Ghaziabad

G. AFFIRMATIONS ON COMPLIANCE REQUIREMENTS FOR AGM (applicable only for the first half-year filing i.e., 2nd quarter) : Not Applicable

H. WEBSITE AFFIRMATIONS (applicable only for Annual Filing i.e., 4th quarter) :

I. Disclosure on website in terms of LODR Regulations	Compliance status (Yes/No/NA)	If Yes provide link to website.
As per regulation 46(2) of the LODR:		
a)Details of business	Yes	https://regententerprises.in/about-us/
aa) Memorandum of Association and Articles of Association	Yes	https://regententerprises.in/investors-relations/
Ab) Brief profile of board of directors including directorship and full-time positions in body corporates	Yes	https://regententerprises.in/investors-relations/
b)Terms and conditions of appointment of independent directors	Yes	https://regententerprises.in/investors-relations/
c)Composition of various committees of board of directors	Yes	https://regententerprises.in/investors-relations/
d)Code of conduct of board of directors and senior management personnel	Yes	https://regententerprises.in/investors-relations/
e)Details of establishment of vigil mechanism/Whistle Blower policy	Yes	https://regententerprises.in/investors-relations/
f) Criteria of making payments to non-executive directors	Yes	https://regententerprises.in/investors-relations/
g)Policy on dealing with related party transactions	Yes	https://regententerprises.in/investors-relations/
h)Policy for determining 'material' subsidiaries	NA	There is no subsidiary company of the Regent Enterprises Limited during the year 2024-25.
i) Details of familiarization programmes imparted to independent directors	Yes	https://regententerprises.in/investors-relations/
j) Email address for grievance redressal and other relevant details	Yes	https://regententerprises.in/contact-us/
k)Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes	https://regententerprises.in/contact-us/
l) Financial results	Yes	https://regententerprises.in/investors-relations/
m)Shareholding pattern	Yes	https://regententerprises.in/investors-relations/
n)Details of agreements entered into with the media companies and/or their associates	NA	There is no such agreement prepared/entered during the year 2024-25.



o) (i) Schedule of analyst or institutional investor meet (ii) presentations prepared by the listed entity to analysts or institutional investors meet post earnings or quarterly calls prior to beginning of such events.	NA	The company has not the Institutional investors during the year 2024-25.
(oa) Audio recordings, video recordings, if any, and transcripts of post earnings or quarterly calls, by whatever name called, conducted physically or through digital means	NA	The company has not the Institutional investors during the year 2024-25.
p) New name and the old name of the listed entity	NA	During the year 2024-25, there is no change in the name of the company.
q) Advertisements as per regulation 47(1)	Yes	https://regententerprises.in/investors-relations/
r) Credit rating or revision in credit rating obtained.	NA	There is no credit rating requirement held during the year 2024-25.
s) Separate audited financial statements of each subsidiary of the listed entity in respect of relevant financial year	NA	The company does not have any subsidiary during the financial year 2024-25. https://regententerprises.in/investors-relations/
t) Secretarial Compliance Report	Yes	https://regententerprises.in/investors-relations/
u) Materiality Policy as per Regulation 30(4)		https://regententerprises.in/investors-relations/
v) Disclosure of contact details of KMP who are authorized for the purpose of determining materiality as required under regulation 30(5)		https://regententerprises.in/investors-relations/
w) Disclosures under regulation 30(8)		https://regententerprises.in/investors-relations/
x) Statements of deviation(s) or variations(s) as specified in regulation 32	NA	The company has not raised the capital by way of public issue, rights issue, preferential issue etc during the year 2024-25.
y) Dividend distribution policy as specified in regulation 43A(1)	NA	The Company does not fall under top 1000 listed companies which are required to prepare the said policy. https://regententerprises.in/investors-relations/
z) Annual return as provided under section 92 of the Companies Act, 2013.	Yes	https://regententerprises.in/investors-relations/
za) Employee Benefit scheme documents framed in terms of SEBI (SBE) Regulations, 2021	NA	The company has not issued any schemes for the benefit of employee during the year 2024-5.
z) Confirmation that the above disclosures are in a separate section as specified in regulation 46(2)	Yes	-
<input type="checkbox"/> Compliance with regulation 46(3) with respect to accuracy of disclosures on the website and timely updation	Yes	-



I. AFFIRMATIONS W.R.T. COMPLIANCE WITH CORPORATE GOVERNANCE PROVISIONS (applicable only for Annual Filing i.e., 4th quarter)

II Annual Affirmations		
Particulars	Regulation Number	Compliance status (Yes/No/NA)
Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b)	Yes
Board composition	17(1), 17(1A), 17(1C), 17(1D) & 17(1E)	Yes
Meeting of board of directors	17(2)	Yes
Quorum of board meeting	17(2A)	Yes
Review of Compliance Reports	17(3)	Yes
Plans for orderly succession for Appointments	17(4)	Yes
Code of Conduct	17(5)	Yes
Fees/compensation	17(6)	Yes
Minimum Hyformation	17(7)	Yes
Compliance Certificate	17(8)	Yes
Risk Assessment & Management	17(9)	Yes
Performance Evaluation of Independent Directors	17(10)	Yes
Recommendation of board	17(11)	Yes
Maximum number of directorship	17A	Yes
Composition of Audit Committee	18(1)	Yes
Meeting of Audit Committee	18(2)	Yes
Composition of Nomination & Remuneration Committee	19(1)&(2)	Yes
Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes
Meeting of Nomination & Remuneration Committee	19(3A)	Yes
Role of Nomination and Remuneration Committee	19(4)	Yes
Composition of Stakeholder Relationship Committee	20(1), 20(2) and 20(2A)	Yes
Meeting of Stakeholder Relationship Committee	20(3A)	Yes
Composition and role of Risk Management Committee	21(1),(2),(3),(4)	NA
Meeting of Risk Management Committee	21(3A)	NA
Quorum of Risk Management Committee meeting	21(3B)	NA
Gap between the meetings of the Risk Management Committee	21(3C)	NA
Vigil Mechanism	22	Yes
Policy for related party Transaction	23(1), (1A), (5), (6) & (8)	Yes
Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	NA
Approval for material related Party Transactions	23(4)	NA
Disclosure of related party transactions on consolidated basis	23(9)	Yes
Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA
Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2), (3), (4), (5) & (6)	NA
Alternate Director to Independent Director	25(1)	NA
Maximum Tenure	25(2)	Yes



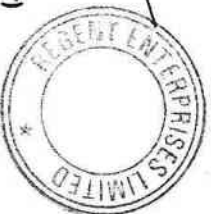
Appointment, Re-appointment or removal of an Independent Director through special resolution or the alternate mechanism	25(2A)	Yes
Meeting of independent directors	25(3)&(4)	Yes
Familiarization of independent directors	25(7)	Yes
Declaration from Independent Director	25(8)&(9)	Yes
Directors and Officers insurance	25(10)	NA
Confirmation with respect to appointment of Independent Directors who resigned from the listed entity	25(11)	Yes
Memberships in Committees	26(1)	Yes
Affirmation with compliance to code of conduct from members of Board of Directors and Senior management Personnel	26(3)	Yes
Policy with respect to Obligations of directors and senior management	26(2)&26(5)	Yes
Approval of the Board and shareholders for compensation or profit sharing in connection with dealings in the securities of the listed entity.	26(6)	NA
Vacancies in respect Key Managerial Personnel	26A(1) & 26A(2), 26A(3)	NA

III Affirmations:

The Listed Entity has approved the Material Subsidiary Policy and the Corporate Governance requirements with respect to the subsidiary of Listed Entity have been complied. Not Applicable



Mamta Sharma
(Company Secretary
& Compliance Officer)



Date: 04.04.2025
Place: Ghaziabad