

REGENT ENTERPRISES LIMITED

Regd. Office:-E-205 (LGF), Greater Kailash II, New Delhi-110048

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Email: legal@regententerprises.in, Website: www.regententerprises.in

QUARTERLY INTEGRATED FILING (GOVERNANCE)

A. COMPLIANCE REPORT ON CORPORATE GOVERNANCE

1. Name of Listed Entity : Regent Enterprises Limited
2. Quarter & Financial year ended : September 30, 2025

I. Composition of Board of Directors

Title (Mr./Ms)	Name of Director	PAN & DIN	Category (Chairperson /Executive/ Non-Executive/ independent /& Nominee)	Initial Date of Appointment	Date of Re-appointment	Date of Cessation	Tenure (In Months)	Date of Birth	No. of directorship in listed entities including this listed entity [with reference to Regulation 17A]	No. of Independent Directors including this listed entity [with reference to proviso to regulation 17A(1)] & reg. 17A(2)	No. of members in Audit/ Stakeholder Committee (s) including this entity (Refer on 26(1) of the LODR Regulation)	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this entity (Refer on 26(1) of the LODR Regulation)
Mr.	Sachin Jain	AEMPJ1115P 07865427	Non-Executive - Non Independent Director, Chairperson	15.07.2017	-	-	-	10-08-1980	1	1	2	0
Mr.	Vikas Kumar	BTHPK6915K 05308192	Executive Director	19.01.2017	01.04.2022	-	-	25-06-1974	1	-	1	0
Mr.	Neeraj Singh	FEUPS4153B 07863006	Non-Executive - Independent Director	15.07.2017	01.04.2022	-	94 Months	10-07-1987	1	1	2	2



Mrs.	Sunita	JYSPSS170Q1 1212449	Non-Executive Independent Woman Director	29.07.2025	-	-	2 Months	01-01-1985	1	2	0
Whether Regular chairperson appointed: Yes											
Whether Chairperson is related to managing director or CEO: No											

II. Composition of Committees

Name of Committee	Whether Regular chairperson appointed	Name of Committee members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	NEERAJ SINGH	Independent Director & Chairman of committee	15-07-2017	-
		SACHIN JAIN	Non Executive & Non Independent Director & Member of committee	01-04-2024	-
		SUNITA	Independent Director & Member of committee	29-07-2025	-
2. Nomination & Remuneration Committee	Yes	NEERAJ SINGH	Independent Director & Chairman of committee	15-07-2017	-
		SUNITA	Independent Director & Member of committee	29-07-2025	-
		SACHIN JAIN	Non Executive & Non Independent Director & Member of committee	15-07-2017	-

3. Risk Management Committee (if applicable)

Not Applicable

4. Stakeholders Relationship Committee

Yes	NEERAJ SINGH	Independent Director & Chairman of committee	15-07-2017	-
	SUNITA	Independent Director & Member of committee	29-07-2025	-
	SACHIN JAIN	Non Independent & Non Executive Director & Member of committee	15-07-2017	-
	VIKAS KUMAR	Executive Director & Member of committee	19-01-2017	-



III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of independent directors present	Date(s) of Meeting in the previous quarter	Maximum gap between any two consecutive Meetings (in number of days)
a) 29-07-2025 b) 13-08-2025 c) 11-09-2025	Yes Yes Yes	3 4 4		29.05.2025	60 days 14 days 28 days

IV. Meetings of Committees⁴

Name of the Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of independent directors present	Date(s) of meeting in the previous quarter	Maximum gap between any two consecutive Meetings (in number of days)
AUDIT COMMITTEE	13.08.2025 11.09.2025	Yes	3 3	2 2	29.05.2025	75 days 28 days
STAKEHOLDERS RELATIONSHIP COMMITTEE	13.08.2025	Yes	4	2	29.05.2025	75 days

VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
 - The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
 - Audit Committee
 - Nomination & Remuneration Committee
 - Stakeholders Relationship Committee
 - Risk management committee (applicable to the top 100 listed entities): **Not Applicable**
 - The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.
 - The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
- This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here. **Report was placed before the board and adopted by the board.**

Mamta Sharma
(Company Secretary
& Compliance Officer)



Date: 13.10.2025
Place: Ghaziabad

B. INVESTOR GRIEVANCE REDRESSAL REPORT

Investor Grievance Redressal Report	
No. of investor complaints pending at the beginning of Quarter	Nil
No. of investor complaints received during the Quarter	Nil
No. of investor complaints disposed off during the Quarter	Nil
No. of investor complaints those remaining unresolved at the end of the Quarter	Nil

C. DISCLOSURE OF ACQUISITION OF SHARES OR VOTING RIGHTS IN UNLISTED COMPANIES

The details of acquisition of shares or voting rights in unlisted companies during the quarter in terms of sub-para 1 of para A of Part A of Schedule III are given below:

S. No.	Name of the unlisted company in which shares or voting rights have been acquired	Date of acquisition	Aggregate holding (% shares or voting rights) as at the end of the previous quarter	% shares or voting rights acquired during the quarter	Aggregate holding (% shares or voting rights) as at the end of the quarter
None					

D. DISCLOSURE OF IMPOSITION OF FINE OR PENALTY

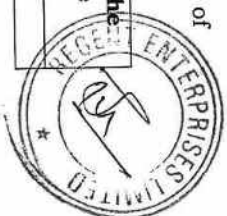
The details of imposition of fine or penalty during the quarter in terms of sub-para 20 of para A of Part A of Schedule III are given below:

S. No.	Name of the authority	Nature and details of the action(s) taken or order(s) passed	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	Details of the violation(s)/contravention(s) committed or alleged to be committed	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible
1.	Indian Customs	Fine Imposed	10-09-2025	Delay in payment of Custom duty	0
Amount of Fine is Rs. 75,000/- only during the quarter ended on September 30, 2025 on account of delay in payment of Custom Duty, the impact of which is not quantifiable in monetary terms on financial, operation and other activities of the company					

E. DISCLOSURE OF UPDATES TO ONGOING TAX LITIGATIONS OR DISPUTES

The updates on tax litigations or disputes in terms of sub-para 8 of para B of Part A of Schedule III read with corresponding provisions of Annexure 18 of the Master Circular are given below:

S.No.	Name of the opposing party	Date of initiation of the litigation / dispute	Date of initiation of the litigation / dispute	Status of the litigation / dispute as per last disclosure	Current status of the litigation / dispute
None					

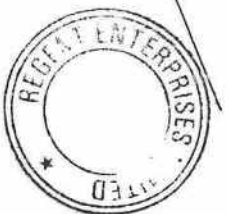


6 Months Ended from the closure of financial year 2024-25.

AFFIRMATIONS

Broad heading	Regulation Number	Compliance status
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on Website.	46(2)	Yes
Presence of Chairperson of Audit Committee at the Annual General Meeting.	18(1)(d)	Yes
Presence of Chairperson of the Nomination and Remuneration Committee at the annual general Meeting.	19(3)	Yes
Presence of Chairperson of the Stakeholder Relationship committee at the annual general Meeting.	20(3)	Yes
Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report.	24A(1)	Yes
Compliance with the conditions laid down for Secretarial Auditor or the person signing the Secretarial Compliance Report.	24A(1A), 24A(1B), 24A(1C)	Yes
Submission of Annual Secretarial Compliance Report.	24A(2)	
Whether "Corporate Governance Report" disclosed in Annual Report.	34(3) read with para C of Schedule V	Yes

Mamta Sharma
(Company Secretary
& Compliance Officer)



Date: 13.10.2025
Place: Ghaziabad

Half year ending – September 30, 2025

I. Disclosure of Loans / guarantees / comfort letters / securities etc.

(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to:

Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	NIL	NIL
Promoter Group or any other entity controlled by them	NIL	NIL
Directors (including relatives) or any other entity controlled by them	NIL	NIL
KMPs or any other entity controlled by them	NIL	NIL

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
Promoter or any other entity controlled by them	NIL	NIL	NIL
Promoter Group or any other entity controlled by them	NIL	NIL	NIL
Directors (including relatives) or any other entity controlled by them	NIL	NIL	NIL
KMPs entity them or any other controlled by	NIL	NIL	NIL




(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	NIL	NIL	NIL
Promoter Group or any other entity controlled by them	NIL	NIL	NIL
Directors (including relatives) or any other entity controlled by them	NIL	NIL	NIL
KMPs or any other entity controlled by them	NIL	NIL	NIL

II. Affirmations:

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company. NA


Mamta Sharma
(Company Secretary & Compliance Officer)



Date: 13.10.2025
Place: Ghaziabad

